

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SERIAL NO. 09/895,891

IBM DOCKET NO. AUS920010237US1

DATE: July 9, 2005

DATE: July 9, 2005

**RECEIVED  
CENTRAL FAX CENTER**

Number of Pages to Follow (including this cover sheet) 5

JUL 09 2005

SEND TO: United States Patent Office

Examiner: Lu, Kuen S.

Group Art Unit: 2167

Tel No: (571) 272-4114

Fax No: 703-872-9306

FROM: Joseph T. Van Leeuwen  
Van Leeuwen & Van Leeuwen  
Registered Patent Attorneys  
6123 Pebble Garden Court  
Austin, Texas 78739  
Tel No: 512-301-6738  
Fax No: 512-301-6742

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

Docket No. AUS920010237US1

Serial No. 09/895,891

Atty: LAV / JVL

Applicant: Calderaro, et al.

- Transmittal Letter (2 copies)
- Amendment ( \_\_\_\_ pages)
- Amendment AF
- Ext. of Time
- IDS Statement
- Form PTO-1449 (Modified)
- Other \_\_\_\_\_

- Certificate of Facsimile
- Notice of Appeal
- Appeal Brief (3 copies)
- Reply Brief
- Change of Address

Deposit Acct. No. 09-0447

Fees: Amendment \_\_\_\_\_ Notice of Appeal \_\_\_\_\_ Appeal Brief \_\_\_\_\_ Other \$180.00

Atty Ref. No. IBM-1031

**RECEIVED  
OPIE/AMP**

JUL 11 2005

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SERIAL NO. 09/895,891

IBM DOCKET NO. AUS920010237US1

DATE: July 9, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<b>Certificate of Mailing or Transmission</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
	7/9/05
Joseph T. Van Leeuwen	Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Personnel Management Collaboration

**RECEIVED**  
**CENTRAL FAX CENTER**

JUL 09 2005

Enclosed are:

- Information Disclosure Statement;
- Modified form 1449 listing 5 references;
- copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- This IDS is being filed pursuant to CFR § 1.197(d) and:
  - is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed); and
  - no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By   
Joseph T. Van Leeuwen  
Attorney for Applicant  
Registration No. 44,383  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

Atty Ref. No. IBM-1031

RECEIVED  
CENTRAL FAX CENTER

Jul 09 2005 4:38PM Van Leeuwen & Van Leeuwen 512-301-6742

JUL 09 2005

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SERIAL NO. 09/895,891

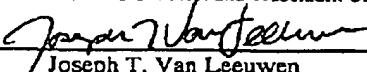
IBM DOCKET NO. AUS920010237US1

DATE: July 9, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Certificate of Mailing or Transmission

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

  
Joseph T. Van Leeuwen

7/9/05  
Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Personnel Management Collaboration

Enclosed are:

- Information Disclosure Statement;
- Modified form 1449 listing 5 references;
- copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- This IDS is being filed pursuant to CFR § 1.197(d) and:
  - is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed); and
  - no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By   
Joseph T. Van Leeuwen  
Attorney for Applicant  
Registration No. 44,383  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

Atty Ref. No. IBM-1031

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

JUL 09 2005

SERIAL NO. 09/895,891

IBM DOCKET NO. AUS920010237US1

DATE: July 9, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
Calderaro, et. al.  
Serial No.: 09/895,891  
Filed: June 29, 2001  
Title: System and Method for Personnel Management Collaboration

: Group Art Unit: 2167  
: Intellectual Property Law Department  
: International Business  
: Machines Corporation  
: Intellectual Property Law Dept.  
: 11400 Burnet Road  
: Austin, Texas 78758

**INFORMATION DISCLOSURE STATEMENT**

The Commissioner of Patents  
Washington, D.C. 20231

Sir:

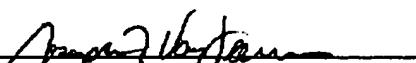
Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By

  
Joseph T. Van Leeuwen  
Van Leeuwen & Van Leeuwen  
Attorneys for Applicant  
Registration No. 44,383  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

Atty Ref. No. IBM-1031

Page 1 of 1

09895891  
07/12/2005 WARDERI 00000096 090447  
01 FC:1806 180.00 DA

In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**Serial No. 09/895,891Applicant: Calderaro, et. al.Filing Date: 6/29/2001Group: 2167Atty. Docket No. AUS920010237US1**U. S. PATENT DOCUMENTS**

Examiner <u>Initial</u>	Document <u>Number</u>	Date	Name	Classification <u>Class / Subclass</u>	Filing Date if <u>Appropriate</u>
_____	AA 6,338,042	01-2002	Paizis, James N.	705/11	
_____	AB 6,741,993	05-2004	Zitaner et al.	707/10	
_____	AC 6,754,874	06-2004	Richman, Ira S.	715/507	
_____	AD 5,819,231	10-1998	Tremaine, John L.	705/7	
_____	AE 6,269,355	07-2001	Grimse et al.	706/46	
_____	AF				
_____	AG				
_____	AH				
_____	AI				
_____	AJ				
_____	AK				
_____	AL				

**FOREIGN PATENT DOCUMENTS**

Examiner <u>Initial</u>	Document <u>Number</u>	Date	Country	Classification	Translation <u>Yes</u> <u>No</u>
_____	AM				
_____	AN				
_____	AO				
_____	AP				

Examiner <u>Initial</u>	<u>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</u>
_____	AQ
_____	AR
_____	AS
_____	AT
_____	AU

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 1 of 1

Atty Ref. No. IBM-1031